

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Julio Medina et al.

Application No.: (Not yet assigned)

Parent Application No.: 09/827,837

Filed: April 4, 2001 (Parent)

For: SOLID PHASE SYNTHESIS OF
LXR LIGANDS

Examiner: Jon D. Epperson

Art Unit: 1639

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

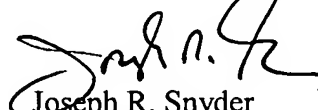
The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. In accordance with 37 CFR §1.98(d), copies of the references can be found in Application No. 09/827,837, filed 04/04/01 (Attorney Docket No. 018781-005310US). It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the

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Respectfully submitted,



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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031
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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number

Filing Date

First Named Inventor

Medina, Julio, et. al.

Art Unit

Examiner Name

Attorney Docket Number

018781-005320US

U.S. PATENT DOCUMENTS

Examiner	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	AA	US-4093738		06/06/78	Hubele	
	AB	US-4166123		08/28/79	Harrison et al.	
	AC	US-4187232		02/05/80	Evans et al.	
	AD	US-4204002		05/20/80	Hubele	
	AE	US-4235928		11/25/80	Eicken et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
	AF	PCT	WO 00/54759		09/21/00	Li, et al.		<input type="checkbox"/>
	AG	PCT	WO 99/44987		09/10/99	Anderson et al.		<input type="checkbox"/>
	AH	PCT	WO 99/06382		02/11/99	Leonardi et al.		<input type="checkbox"/>
	AI	PCT	WO 97/31637		09/07/97	Leonardi et al.		<input type="checkbox"/>
	AJ	PCT	WO 94/21611		09/29/94	Cliffe et al.		<input type="checkbox"/>

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¹ EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

² Applicant's unique citation designation number (optional). ³ Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ⁴ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁷ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	
		Filing Date	
		First Named Inventor	Medina, Julio, et. al.
		Art Unit	
		Examiner Name	
Sheet 2	of 2	Attorney Docket Number	018781-005320US

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AK	Athelstan L. J. Beckwith, <i>etal</i> : "Tandem Radical Translocation and Homolytic Aromatic Substitution: a Convenient and Efficient Route to Oxindoles" <i>J. Chem. Soc. Chem. Commun.</i> ; pp. 977-978; (1995)	
	AL	Sylvie Le Blanc, <i>etal</i> : "New Access to Spiranc β -Lactams" <i>Tetrahedron Lett</i> ; 33(15) pp. 1993-1996 (1992)	
	AM	Masazumi Ikeda, <i>etal</i> "Photochemistry of 2-(N-Acyl-N-alkylamino)cyclohex-2-enones: Formation of Spiro- β -lactams" <i>Chem. Pharm. Bull</i> ; 34(12) pp 4997-5004 (1986)	
	AN	Masazumi Ikeda, <i>etal</i> "Photochemical Synthesis of Spiro- β -lactams" <i>J. Chem. Soc. Chem. Commun</i> ; pp 758-759 (1984)	

Examiner Signature		Date Considered	
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